



8/29/00

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08/28/00

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

108298511US

First Inventor or Application Identifier

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Title

METHOD AND APPARATUS FOR FORMING A PLANARIZING PAD HAVING A
FILM AND TEXTURE ELEMENTS FOR PLANARIZATION OF
MICROELECTRONIC SUBSTRATES

Express Mail Label No.

EL404932198US

(Only for nonprovisional applications under 37 CFR § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☒ Authorization for Extensions & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] 26
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (35 USC 113) [Total Sheets] 7

4. Oath or Declaration [Total Pages]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
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5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
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- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7. ☒ Assignment Papers (cover sheet & document(s))
- 8. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard
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☐ Claims the benefit of Provisional Application No. _____

17. CORRESPONDENCE ADDRESS

Customer Number 25096 / Barcode



25096

PATENT TRADEMARK OFFICE

Respectfully submitted,

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SIGNATURE

REGISTRATION NO. 42,216

Date

August 28, 2000

METHOD AND APPARATUS FOR FORMING A PLANARIZING PAD HAVING A FILM AND TEXTURE ELEMENTS FOR PLANARIZATION OF MICROELECTRONIC SUBSTRATES

TECHNICAL FIELD

5 This invention relates to planarizing pads and methods and apparatuses for forming planarizing pads for planarizing microelectronic substrates.

BACKGROUND OF THE INVENTION

10 Mechanical and chemical-mechanical planarization processes (collectively “CMP”) are used in the manufacturing of electronic devices for forming a flat surface on semiconductor wafers, field emission displays and many other microelectronic-device substrate assemblies. CMP processes generally remove material from a substrate assembly to create a highly planar surface at a precise elevation in the layers of material on the substrate assembly. Figure 1 schematically illustrates an existing web-format planarizing machine 10 for planarizing a substrate 12. The planarizing machine 10 has a support table 14 with a top-panel 16 at a workstation where an operative portion “A” of a planarizing pad 40 is positioned. The top-panel 16 is generally a rigid plate to provide a flat, solid surface to which a particular section of the planarizing pad 40 may be secured during planarization.

15 The planarizing machine 10 also has a plurality of rollers to guide, position and hold the planarizing pad 40 over the top-panel 16. The rollers include a supply roller 20, idler rollers 21, guide rollers 22, and a take-up roller 23. The supply roller 20 carries an unused or pre-operative portion of the planarizing pad 40, and the take-up roller 23 carries a used or post-operative portion of the planarizing pad 40. Additionally, the left idler roller 21 and the upper guide roller 22 stretch the planarizing pad 40 over the top-panel 16 to hold the planarizing pad 40 stationary during operation. A motor (not shown) drives at least one of the supply roller 20 and the take-up roller 23 to sequentially advance the planarizing pad 40 across the top-panel 16. Accordingly, clean pre-operative sections of the planarizing pad 40

may be quickly substituted for used sections to provide a consistent surface for planarizing and/or cleaning the substrate 12.

The web-format planarizing machine 10 also has a carrier assembly 30 that controls and protects the substrate 12 during planarization. The carrier assembly 30 generally has a substrate holder 32 to pick up, hold and release the substrate 12 at appropriate stages of the planarizing process. Several nozzles 33 attached to the substrate holder 32 dispense a planarizing solution 44 onto a planarizing surface 42 of the planarizing pad 40. The carrier assembly 30 also generally has a support gantry 34 carrying a drive assembly 35 that can translate along the gantry 34. The drive assembly 35 generally has an actuator 36, a drive shaft 37 coupled to the actuator 36, and an arm 38 projecting from the drive shaft 37. The arm 38 carries the substrate holder 32 via a terminal shaft 39 such that the drive assembly 35 orbits the substrate holder 32 about an axis B-B (as indicated by arrow “ R_1 ”). The terminal shaft 39 may also rotate the substrate holder 32 about its central axis C-C (as indicated by arrow “ R_2 ”).

The planarizing pad 40 and the planarizing solution 44 define a planarizing medium that mechanically and/or chemically-mechanically removes material from the surface of the substrate 12. The planarizing pad 40 used in the web-format planarizing machine 10 is typically a fixed-abrasive planarizing pad in which abrasive particles are fixedly bonded to a suspension material. In fixed-abrasive applications, the planarizing solution is a “clean solution” without abrasive particles. In other applications, the planarizing pad 40 may be a non-abrasive pad without abrasive particles. The planarizing solutions 44 used with the non-abrasive planarizing pads are typically CMP slurries with abrasive particles and chemicals.

To planarize the substrate 12 with the planarizing machine 10, the carrier assembly 30 presses the substrate 12 against the planarizing surface 42 of the planarizing pad 40 in the presence of the planarizing solution 44. The drive assembly 35 then orbits the substrate holder 32 about the axis B-B, and optionally rotates the substrate holder 32 about the axis C-C, to translate the substrate 12 across the planarizing surface 42. As a result, the abrasive particles and/or the chemicals in the planarizing medium remove material from the surface of the substrate 12.

The CMP processes should consistently and accurately produce a uniformly planar surface on the substrate 12 to enable precise fabrication of circuits and photopatterns.

During the fabrication of transistors, contacts, interconnects and other features, many substrates and/or substrate assemblies develop large “step heights” that create a highly topographic surface across the substrate assembly. Yet, as the density of integrated circuits increases, it is necessary to have a planar substrate surface at several intermediate stages during the fabrication of devices on a substrate assembly because non-uniform substrate surfaces significantly increase the difficulty of forming sub-micron features. For example, it is difficult to accurately focus photo patterns to within tolerances approaching 0.1 micron on non-uniform substrate surfaces because sub-micron photolithographic equipment generally has a very limited depth of field. Thus, CMP processes are often used to transform a topographical substrate surface into a highly uniform, planar substrate surface.

One conventional approach for improving the uniformity of the microelectronic substrate 12 is to engage the microelectronic substrate 12 with a planarizing pad 40 having a textured planarizing surface 42. For example, as shown in Figure 2, the planarizing pad 40 can include spaced-apart texture elements 41. The texture elements 41 can improve the planarization of the microelectronic substrate 12 (Figure 1) by retaining the planarizing liquid 44 (Figure 1) in the interstices between the texture elements. Accordingly, the texture elements 41 increase the amount of planarizing liquid in contact with the microelectronic substrate 12 and increase the planarizing rate and surface uniformity of the microelectronic substrate 12.

One conventional method for forming the texture elements 41 is to engage a mold 50 with the planarizing pad 40 while the planarizing pad 40 is in a semi-solid or plastic state. For example, the mold 50 can include columnar apertures 51 that produce corresponding columnar texture elements 41 in the planarizing pad 40. One drawback with the foregoing fabrication method is that the mold 50 may deform the texture elements 41 as the mold 50 is withdrawn from the planarizing pad 40. For example, the planarizing pad material may adhere to the mold 50 or portions of the mold 50 such that the upper surfaces of the texture elements 41 develop sharp edges or other asperities 43. The asperities 43 can scratch or otherwise damage the microelectronic substrate 12 during planarization.

SUMMARY OF THE INVENTION

The present invention is directed toward methods and apparatuses for forming planarizing media for planarizing microelectronic substrates. A method in accordance with one aspect of the invention includes separating a planarizing medium material into discrete elements and disposing the discrete elements and a liquid film support material on a support liquid. The support material and the discrete elements are drawn from the support liquid with a backing material. In a further aspect of this method, the film support material can be removed after attaching the discrete elements to backing material. Alternatively, the film support can be engaged with the backing material. In either embodiment, the discrete elements can be disposed on the film support material so that portions of the discrete elements are spaced apart from each other and project from the support material. The discrete elements are configured to engage the microelectronic substrate and to remove material from the microelectronic substrate when the microelectronic substrate contacts the discrete elements and at least one of the planarizing pad and the microelectronic substrate is moved relative to the other.

In one aspect of the invention, at least a portion of the planarizing medium material is in a liquid phase and separating the planarizing medium material includes forming discrete droplets of the planarizing medium material by mixing the planarizing medium material with a stream of gas. In another aspect of the invention, the discrete elements can be passed through apertures of a grate to control the distribution of the discrete elements on the support material. The discrete elements can be partially cured before they are disposed on the support material to partially solidify the discrete elements. The discrete elements can be mixed with the support material and both the discrete elements and the support material can be disposed together on the support liquid. Alternatively, the support material can be disposed on the support liquid first and then the discrete elements can be disposed on the support material.

The invention is also directed toward a planarizing pad for planarizing a microelectronic substrate. In one aspect of the invention, the planarizing pad can include a backing layer, a one-molecule thick film layer (such as a Langmuir-Blodgett film) on the backing layer, and a plurality of texture elements disposed on the film layer. Portions of the texture elements are spaced apart from each other and project from the film layer. The

texture elements can have a generally smooth upper surface smoothly transitioning to a generally smooth side surface without asperities. In one aspect of the invention, the texture elements can have a cross-sectional dimension of from approximately 50 microns to approximately 200 microns. In another aspect of the invention, the texture elements can have abrasive particles embedded therein.

The invention is also directed toward an apparatus for forming a planarizing pad. The apparatus can include a support device configured to hold a backing material in a selected position, and can further include a first vessel configured to contain a non-solid planarizing pad material. At least one nozzle is operatively coupled to the vessel and coupled to a source of compressed gas to mix the planarizing pad material with the compressed gas and form discrete texture elements. The apparatus further includes a second vessel configured to contain a support liquid that supports the discrete texture elements and a film. The support device is positioned proximate to the second vessel to move the backing material relative to the second vessel and draw the film from the second vessel.

In one aspect of this invention, the backing material is elongated in a longitudinal direction and the support device of the apparatus can include first and second rollers coupled to the backing material and rotatable relative to each other to advance the backing material from the first roller to the second roller. The apparatus can also include a hopper positioned between the nozzle and the support device. In another aspect of the invention, the apparatus can include two nozzles coupled to the vessel, the second nozzle being offset in the longitudinal direction and in a lateral direction transverse to the longitudinal direction relative to the first nozzle.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a partially schematic side elevational view of a planarizing apparatus having a planarizing pad in accordance with the prior art.

Figure 2 is a top isometric view of a portion of the planarizing pad shown in Figure 1 and a mold used for forming the planarizing pad in accordance with the prior art.

Figure 3 is a partially schematic side elevational view of an apparatus for forming a planarizing pad in accordance with an embodiment of the invention.

Figure 4 is a detailed side elevational view of a portion of a planarizing pad formed with the apparatus shown in Figure 3.

Figure 5 is a partially schematic side elevational view of an apparatus for forming planarizing pads in accordance with another embodiment of the invention.

5 Figure 6 is a partially schematic top isometric view of an apparatus for forming a planarizing pad in accordance with yet another embodiment of the invention.

Figure 7 is a partially schematic side elevational view of an apparatus for forming a planarizing pad with a liquid-borne film in accordance with still another embodiment of the invention.

10 Figure 8 is a partially schematic side elevational view of a CMP machine that supports a polishing pad in accordance with another embodiment of the invention.

DETAILED DESCRIPTION

15 The present disclosure describes planarizing media and methods and apparatuses for forming planarizing media for chemical and/or chemical-mechanical planarizing of substrates and substrate assemblies used in the fabrication of microelectronic devices. Many specific details of certain embodiments of the invention are set forth in the following description and in Figures 3-6 to provide a thorough understanding of these embodiments. One skilled in the art, however, will understand that the present invention may have additional embodiments, or that the invention may be practiced without several of the details described below.

20 Figure 3 is a partially schematic side elevational view of an apparatus 111 for forming a planarizing pad 140 from a planarizing pad material 145 in accordance with an embodiment of the invention. The apparatus 111 can include a nozzle 180 that separates the planarizing pad material 145 into discrete particles 147. The particles 147 collect in a hopper 25 170 that distributes the particles 147 on a layer of support material 148 as the support material 148 passes below. The particles 147 bond to the support material 148 to form texture elements 141 on the planarizing pad 140, as will be discussed in greater detail below.

In one embodiment, the apparatus 111 can include an enclosure 160 that surrounds the nozzle 180, the hopper 170 and the planarizing pad 140. A gas supply conduit 30 168 can extend from a supply of gas (not shown) into the enclosure 160 to provide a

temperature-controlled and/or conditioned gas to the enclosure 160. In a further aspect of this embodiment, the gas supply conduit 168 can provide an inert gas, such as helium or nitrogen, to the enclosure 160 to reduce the likelihood for contaminating the planarizing pad material 145 with foreign matter.

5 In one embodiment, the planarizing pad material 145 is provided in a mixing vessel 181. The planarizing pad material 145 can include a thermoset or thermoplastic material and/or a resin. One suitable pad material 145 is an acrylate in a liquid or gel state. A conduit 182 dispenses abrasive elements 146 (such as ceria or alumina particles) into the mixing vessel 181. The abrasive elements 146 can have a diameter of from about 20
10 nanometers to about 5000 nanometers. A stirrer 183 in the mixing vessel 181 mixes the abrasive elements 146 with the planarizing pad material 145 to uniformly distribute the abrasive elements 146 throughout the planarizing pad material 145.

The apparatus 111 can further include an additive conduit 186 for supplying one or more additives to the planarizing pad material 145. In one aspect of this embodiment,
15 the additive can include a solvent for reducing the viscosity of the planarizing pad material 145. Accordingly, the planarizing pad material 145 can more easily separate into discrete particles. Alternatively, the additive can include other chemicals, such as oxidizers, surfactants, corrosion inhibitors and/or pH control agents, for controlling the rate and/or the manner that the planarizing pad 140 removes material from a microelectronic substrate (not
20 shown) during planarization.

The apparatus 111 can further include a pad material conduit 184 that extends into the mixing vessel 181 and withdraws the mixture of the planarizing pad material 145 and the abrasive elements 146 from the vessel 181. The pad material conduit 184 is coupled to the nozzle 180 to provide a flow of the pad material mixture to the nozzle 180. The nozzle
25 180 is also coupled to a source of pressurized gas (not shown) by a gas conduit 185 to mix the gas with the pad material mixture. The nozzle 180 separates the pad material mixture into the pad material particles 147, each of which can include some of the abrasive elements 146.

In one embodiment, the pad material particles 147 are directed from the nozzle
30 180 into the hopper 170. Accordingly, the hopper 170 can include an opening 172 for receiving the pad material particles 147. In one aspect of this embodiment, the pad material particles 147 have a generally spherical or droplet-type shape immediately after exiting the

nozzle 180. In a further aspect of this embodiment, the pad material particles 147 partially or completely solidify as they travel toward the hopper 170. For example, the distance between the nozzle 180 and the hopper 170 can be controlled to allow heat transfer from the pad material particles 147 sufficient to partially or completely solidify the particles.

5 Accordingly, the pad material particles 147 do not agglomerate in the hopper 170.

The hopper 170 can include a grate or mesh 171 or another control element that controls the rate with which the pad material particles 147 exit through the bottom of the hopper 170. In one aspect of this embodiment, the grate 171 can include an array of apertures, each sized to pass a single pad material particle 147. Alternatively, the apertures
10 of the grate 171 can be sized to pass multiple pad material particles 147. In either embodiment, the pad material particles 147 descend from the bottom of the hopper 170 to the support material 148 below.

The support material 148 can include an elongated backing sheet 149a of Mylar® or another suitable substrate. The support material 148 can also include an adhesive material 149b for bonding the pad material particles 147 to the support material 148. In one
15 aspect of this embodiment, the backing sheet 149a is unwound from a first supply roller 120a and around a guide roller 122 to a take-up roller 123. The adhesive material 149b is unwound from a second supply roller 120b and around the guide roller 122 where the adhesive material 149b adheres to the backing sheet 149a to form the support material 148.
20 The support material 148 proceeds as a unit to the take-up roller 123 as indicated by arrow "X."

As the support material 148 passes beneath the hopper 170, the pad material particles 147 descend from the hopper 170 and settle on the adhesive material 149b to form the planarizing pad 140. In one aspect of this embodiment, the adhesive material 149b cures
25 and/or dries before the pad material particles 147 reach the take-up roller 123. Accordingly, the pad material particles 147 are permanently affixed to the support material 148 before the planarizing pad 140 rolls up on itself on the take-up roller 123. Alternatively, the apparatus 111 can include curing plates 124 positioned above and/or below the planarizing pad 140 for accelerating and/or otherwise controlling the curing process. In one aspect of this
30 embodiment, the curing plates 124 include heating elements that elevate the temperature of the pad material elements 147, the adhesive material 149b and/or the backing sheet 149a until the pad material elements 147 are permanently affixed to the adhesive material 149b.

In a further aspect of this embodiment, the curing plates 124 can also permanently affix the adhesive material 149b to the backing sheet 149a. The curing plates 124 can also include blowers, ultraviolet light or other radiation sources, and other suitable devices for curing and affixing the pad material elements 147 to the support material 148. In any of these foregoing
5 embodiments, the pad material particles 147 become fixedly attached to the support material 148 in a manner suitable for mechanically and/or chemically-mechanically removing material from a microelectronic substrate in a manner similar to that discussed above.

In one aspect of the embodiment shown in Figure 3, the pad material particles 147 descend from the hopper 170 in a continuous fashion, and the rate at which the
10 planarizing pad 140 passes beneath the hopper 170 is controlled to produce a desired distribution of the pad material particles 147 on the planarizing pad 140. The distribution of the pad material particles 147, for example, can be uniform across the support material 148. Alternatively, the hopper 170 can include a gate (not shown) or another active device that mechanically and intermittently closes the lower surface of the hopper 170 to control the
15 flow of pad material particles 147 to the planarizing pad 140. In either of these embodiments, the planarizing pad 140 can be installed on a web-format planarizing apparatus such as is shown in Figure 1 during planarization. Alternatively, the planarizing pad 140 can be configured to operate on other types of planarizing machines, as will be discussed below with reference to Figure 8.

Figure 4 is side elevational view of a portion of the planarizing pad 140
20 discussed above with reference to Figure 3. The planarizing pad 140 includes a distribution of the pad material particles 147 (Figure 3) that form the raised features 141. In one aspect of this embodiment, the raised features 141 can have a generally hemispherical shape. This shape can result because the initially spherical or droplet-shaped pad material particles 147
25 deform to the hemispherical shape when they strike the planarizing pad 140. Alternatively, the pad material particles 147 can retain their generally spherical or droplet shape and can become buried in the adhesive layer 149 so that the protruding top portions of the pad material particles 147 form the raised features 141. Alternatively, the raised features 141 can have shapes other than the hemispherical shapes shown in Figure 4.

In any of these foregoing embodiments, the raised features 141 can have a
30 cross-sectional dimension “D” of from approximately 5 microns to approximately 200 microns. The raised features 141 can project from the upper surface of the planarizing pad

140 by a distance “*H*” of from approximately 2 microns to approximately 200 microns. In still another aspect of this embodiment, the raised features 141 are sized and spaced such that the abrasive particles 146 contained in the raised features 141 cover from about 1% to about 50% of the upper surface of the planarizing pad 140. In a particular aspect of this embodiment, the raised features 141 are sized and spaced so that the abrasive elements 146 cover about 20% of the upper surface of the planarizing pad 140.

In one embodiment, each of the raised features 141 has an upper surface 190 that smoothly connects with side surfaces 191 to form a hemispherical surface, as was discussed above. Alternatively, the upper surface 190 together with the side surfaces 191 can form other generally smoothly contoured shapes. In either of these embodiments, the portion of the raised features 141 projecting above the upper surface of the planarizing pad 140 is generally smooth and does not have asperities or sharp edges. Accordingly, an advantage of an embodiment of the planarizing pad 140 discussed above with reference to Figures 3 and 4 is that it may be less likely to scratch or otherwise damage a microelectronic substrate during planarization.

Another feature of the method and apparatus for forming the planarizing pad 140 discussed above with reference to Figures 3 and 4 is that they are expected to provide good control of the abrasivity of the planarizing pad 140. For example, the spacing between the raised features 141 can be controlled by controlling the rate at which the hopper 170 discharges the pad material particles 147 to the planarizing pad 140 and/or the rate at which the planarizing pad 140 moves beneath the hopper 170. Controlling these process variables can be less expensive and less time consuming than providing and installing an individual mold for each different pattern of raised features, which may be required by the conventional technique discussed above with reference to Figure 2.

Still another advantage of the methods and apparatuses discussed above with reference to Figures 3 and 4 is that they can improve the consistency of the resulting planarizing pad 140. For example, in conventional techniques that use molds to form raised features on the planarizing pad, surfaces of the mold can abrade, wear, or become contaminated (*e.g.*, with residual polishing pad material). Each of these characteristics of the mold can reduce the consistency of the resulting planarizing pads. By contrast, an embodiment of the method and apparatus 111 discussed above eliminates the mold and accordingly can eliminate these drawbacks.

In an alternate embodiment, the apparatus 111 can include a plurality of mixing vessels 181 and/or hoppers 170, each of which contains pad material particles 147 having different abrasive elements 146 or a different concentration of abrasive elements 146. Accordingly, this embodiment of the apparatus 111 can produce a single planarizing pad 140 having regions with different types or concentrations of abrasive elements 146. Accordingly, the distribution of the raised features 141 over the planarizing pad 140 can vary over the surface of the planarizing pad 140. As a result, the planarizing pad 140 may be particularly suitable for planarizing different portions of a microelectronic substrate at different rates, and may be difficult to form using the conventional mold technique discussed above with reference to Figure 2.

Figure 5 is a partially schematic, side elevational view of an apparatus 211 for forming a planarizing pad 240 in accordance with another embodiment of the invention. In one aspect of this embodiment, the planarizing pad material 145 is mixed in the mixing vessel 181 without adding abrasive elements. Accordingly, the resulting planarizing pad 240 can be used with slurries or other planarizing liquids having a suspension of abrasive elements.

In another aspect of the embodiment shown in Figure 5, a plurality of pad material particles 247 are distributed directly from the nozzle 180 to support material 148 without first collecting in a hopper (as was discussed above with reference to Figure 3). Accordingly, the pad material particles 247 need not solidify (or need not solidify to the same degree as the pad material particles 147 discussed above with reference to Figure 3) before impinging on the support material 148. In a further aspect of this embodiment, the pad material elements 247 form a random distribution of raised elements 241 on the support material 148. Alternatively, the distribution of the pad material particles 247 can be controlled or partially controlled by inserting a grate or other flow control device between the exit of the nozzle 180 and the planarizing pad 240.

In still another aspect of the embodiment shown in Figure 5, the support material 148 does not include an adhesive layer 149b (Figure 3). Instead, the pad material particles 247 descend directly onto the support material 148. In a particular aspect of this embodiment, the support material 148 can have the same chemical composition as the pad material particles 247, and can include an uncured or partially cured material, such as an acrylate or acrylic resin. The pad material particles 247 can be cured along with the support

material 148 when the planarizing pad 240 passes through the curing plates 124. This process both solidifies the pad material particles 247 and bonds the particles 247 to the support material 148.

In yet another aspect of the embodiment shown in Figure 5, the nozzle 180 can be directed at least partially downwardly toward the support material 148, so that the pad material particles 247 have an increased downward velocity as they strike the support material 148. Accordingly, the nozzle 180 can embed the pad material particles 247 in the support material 148. This technique can also be used when the support material 148 supports an adhesive material to embed the pad material particles 247 in the adhesive material.

Figure 6 is a partially schematic top isometric view of an apparatus 311 for forming a polishing pad 340 having a highly controlled distribution of raised features 341 in accordance with yet another embodiment of the invention. In one aspect of this embodiment, the planarizing pad material 145 is withdrawn from the mixing vessel 181 into the pad material conduit 184. In the embodiment shown in Figure 6, the planarizing pad material 145 includes abrasive elements 146; alternatively, abrasive elements can be disposed in a slurry in a manner similar to that discussed above with reference to Figure 5. In either embodiment, the pad material conduit 184 is coupled to a pump 186 that pumps the planarizing pad material 145 to a manifold 373 positioned proximate to the support material 148. The manifold 373 is coupled to a plurality of spray bars 374 that extend transversely over the surface of the support material 148. Each spray bar 374 includes a plurality of spray bar nozzles 375 directed downwardly or at least partially downwardly toward the support material 148. The planarizing pad material 145 exits the spray bar nozzles 375 to form discrete pad material particles 347 that impinge on the support material 148 and form the raised features 341.

In one aspect of the embodiment shown in Figure 6, the spray bar nozzles 375 of adjacent spray bars 374 are offset laterally from each other to produce a staggered arrangement of raised elements 341. The lateral spacing of the raised elements 341 can be controlled by selecting the spacing between adjacent spray bar nozzles 375 on each spray bar 374 and by selecting the total number of spray bars 374 positioned over the support material 148. The spacing of the raised elements 341 in the longitudinal direction can be controlled by the rate at which the polishing pad material 145 is pumped through the spray bar nozzles

375, and the rate at which the support material 148 is advanced from the supply roller 122 to the take-up roller 123.

In another aspect of the embodiment shown in Figure 6, the pad material particles 347 can be fixedly bonded to the support material 148 when the support material 148 passes between the curing plates 124. Alternatively, the pad material particles can bond to the support material 148 without the curing plates 124 and the curing plates 124 can be eliminated. In another alternative arrangement, the support material 148 can support an adhesive material 149 (Figure 3) and the pad material elements 347 can bond to the adhesive material 149, with or without curing.

Figure 7 is a partially schematic side elevational view of an apparatus 511 for forming a planarizing pad 540 using a liquid-borne film in accordance with another embodiment of the invention. The apparatus 511 can include a mixing vessel 181 and a hopper 170 configured to produce pad material particles 147 in a manner generally similar to that discussed above with reference to Figure 3. In one aspect of this embodiment, the pad material particles 147 collect in a film vessel 570 where they mix with a liquid film material 590 supplied by a film material conduit 582. The film material 590 and the pad material particles 147 are then disposed on a support liquid 571 contained in a support liquid vessel 581 to form a film 587 that floats on the support liquid 571. Accordingly, the support liquid 571 can include a liquid (such as water) that has a specific gravity greater than the specific gravity of the film material 590.

In a further aspect of this embodiment, the film 587 can be one molecule thick (*i.e.*, a monolayer or Langmuir-Blodgett film) with the pad material particles 147 either resting on the surface of the monolayer or partially embedded in the monolayer. Accordingly, the film material 590 can include any organic material that forms a monolayer or Langmuir-Blodgett film. The apparatus 511 can include a moveable barrier (not shown) that pushes the film 587 together until a dense monomolecular film is formed on the surface of the support liquid 571. Alternatively, the film material 590 can be selected to form a film 587 having a thickness of more than one molecule. An advantage of the one-molecule-thick monolayer is that it has a uniform thickness and may accordingly form a more uniform planarizing pad.

In either of the above embodiments, the film 587 is removed from the support liquid vessel 581 by disposing a support or backing material 548 (such as Mylar®) in the

support liquid vessel 581 and drawing the backing material 548 away from the support liquid vessel 581 with the film 587 attached. In one aspect of this embodiment, the backing material 548 can be supported on rollers generally similar to those described above with reference to Figure 6. The composite of the backing material 548, the film 587, and the pad material particles 147 form a planarizing pad 540 having texture elements 541. In another aspect of this embodiment, an adhesive can be sprayed over the planarizing pad 540 to more securely attach the film 587 to the backing material 548. Alternatively, the film 587 can be heat cured to the backing material 548.

In another alternate embodiment, the film vessel 570 can be eliminated and the film material conduit 582 (or another delivery device) can dispose the film material 590 directly onto the support liquid 571 in the support material vessel 581. The pad material particles 147 can be disposed directly from the hopper 170 onto the film 587. In still another alternate arrangement, the nozzle 180 can direct the pad material particles 147 directly onto the film 587 without the hopper 170, in a manner generally similar to that discussed above with reference to Figure 5.

In still another alternate embodiment, the film 587 can be a sacrificial material that is removed after the planarizing pad 540 is formed. In one aspect of this embodiment, the pad material particles 147 can be flush with the lower surface of the film 587 or alternatively, the pad material particles 147 can project beneath the lower surface. In either aspect of this embodiment, the pad material particles 147 can contact the backing material 548 and attach directly to the backing material 548, after which the film 587 can be removed. For example, the film 587 can be removed by exposing the film 587 to a suitable solvent. Such an embodiment can be suitable when, for example, the film material 587 is suitable for supporting the pad material particles 147 relative to each other, but is not suitable for or compatible with planarizing operations.

In yet another embodiment, the shape of pad material particles 147 can be changed as the particles 147 are attached (either directly or via the film 587) to the backing layer 548. For example, the particles 147 can be attached by a curing process that alters the shape of the particles 147 from a generally spherical shape to a tapered shape that is wider near the bottom (where the particles 147 attach to the backing layer 548 or the film 587) than the top. The wider base of the particles 147 can provide more surface-to-surface contact between the particles 147 and the backing layer 548 (or the film 587) to improve the strength

of the bond therebetween. In a further aspect of this embodiment, the tops of the attached particles 147 can be finished, for example, by buffing the tops of the particles 147 so that all the particles 147 project from the backing layer 548 by approximately the same distance.

In still another embodiment, the pad material particles 147 can be eliminated and the abrasive elements 146 alone can be disposed directly on the film 587 to provide an abrasive planarizing medium. Accordingly, the planarizing pad material 145 and the abrasive elements 146 (referred to collectively as planarizing medium material) can be disposed in the form of discrete elements on the film 587, and/or the backing material 548, either separately or in combination. In either embodiment, the backing material 548 can have a flat surface or alternatively, the backing material 548 can have a textured surface facing the film 587. For example, in one embodiment, the backing material 548 can have a plurality of raised regions separated by troughs to transport planarizing liquid beneath the microelectronic substrate during planarization. In one aspect of this embodiment, the backing material 548 can have square raised regions measuring approximately 1.0 cm along each side, separated by troughs having a width of approximately 1.0 mm. In other embodiments, the backing material 548 can have other texture configurations, for example, those disclosed in an application titled "Planarizing Media and Planarizing Machines for Mechanical or Chemical-Mechanical Planarization of Microelectronic Device Substrate Assemblies, and Methods for Making and Using Such Media and Machines," Attorney Docket No. 10829.8382, incorporated herein in its entirety by reference. In any of these embodiments, the film 587 can conform to the texture of the backing material 548.

Figure 8 is a partially schematic cross-sectional view of a rotary planarizing machine 410 with a generally circular platen or table 420, a carrier assembly 430, a planarizing pad 440 positioned on the table 420, and a planarizing liquid 444 on the planarizing pad 440. The composition and construction of the planarizing pad 440 can be generally similar to any of the compositions and constructions of the planarizing pads discussed above with reference to Figures 3-7, except that the planarizing pad 440 has a generally circular planform shape corresponding to the shape of the table 420.

In one aspect of this embodiment, the planarizing liquid 444 can be a slurry having a suspension of abrasive elements, and the planarizing pad 440 can have no abrasive elements. Alternatively, the planarizing pad 440 can have abrasive elements 446 and the planarizing liquid 444 can have no abrasive elements. In either embodiment, the planarizing

machine 410 may also have an under-pad 425 attached to an upper surface 422 of the platen 420 for supporting the planarizing pad 440. A drive assembly 426 rotates (arrow "F") and/or reciprocates (arrow "G") the platen 420 to move the planarizing pad 440 during planarization.

5 The carrier assembly 430 controls and protects a microelectronic substrate 412 during planarization. The carrier assembly 430 typically has a substrate holder 432 with a pad 434 that holds the microelectronic substrate 412 via suction. A drive assembly 436 of the carrier assembly 430 typically rotates and/or translates the substrate holder 432 (arrows "J" and "I," respectively). Alternatively, the substrate holder 432 may include a weighted,
10 free-floating disk (not shown) that slides over the planarizing pad 440. To planarize the microelectronic substrate 412 with the planarizing machine 410, the carrier assembly 430 presses the microelectronic substrate 412 against a planarizing surface 442 of the planarizing pad 440. The platen 420 and/or the substrate holder 432 then move relative to one another to translate the microelectronic substrate 412 across the planarizing surface 442. As a result,
15 the abrasive particles in the planarizing pad 440 and/or the chemicals in the planarizing liquid 444 remove material from the surface of the microelectronic substrate 412.

From the foregoing, it will be appreciated, that although specific embodiments of the invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. For
20 example, the apparatuses shown in Figures 5 and 6 can include an enclosure similar to the one shown in Figure 3. Accordingly, the invention is not limited except as by the appended claims.

CLAIMS

1 1. A method for forming a planarizing medium for planarizing a
2 microelectronic substrate, comprising:
3 separating a planarizing medium material into discrete elements;
4 disposing the discrete elements and a film support material on a support liquid
5 with portions of the discrete elements spaced apart from each other and projecting from the
6 support material and with the discrete elements configured to engage the microelectronic
7 substrate and remove material from the microelectronic substrate; and
8 drawing the support material and the discrete elements from the support liquid
9 by engaging the support material with a backing material and moving the backing material
10 away from the support liquid.

1 2. The method of claim 1 wherein the planarizing medium material
2 includes a planarizing pad material, and wherein the method further comprises distributing a
3 plurality of abrasive particles in the planarizing pad material before separating the
4 planarizing pad material into discrete elements, and wherein the discrete elements include at
5 least some of the abrasive particles in the discrete elements.

1 3. The method of claim 2 wherein the support material has a first surface
2 and a second surface opposite the first surface, further comprising distributing the abrasive
3 particles to occupy from about 1% to about 50% of a surface area of the first surface of the
4 support material.

1 4. The method of claim 1, further comprising selecting the planarizing
2 medium material to consist of abrasive elements.

1 5. The method of claim 1 wherein at least a portion of the planarizing
2 medium material is in a liquid phase and separating the planarizing medium material includes
3 mixing the planarizing medium material with a stream of gas and forming discrete droplets of
4 the planarizing medium material.

1 6. The method of claim 1 wherein separating the planarizing medium
2 material includes separating the planarizing medium material into droplets when the
3 planarizing medium material is in a liquid state, and further comprising at least partially
4 solidifying the droplets before disposing the droplets on the support material.

1 7. The method of claim 1, further comprising forming the discrete elements
2 to have a maximum cross-sectional dimension of from approximately 5 microns to
3 approximately 200 microns when the discrete elements are on the support material.

1 8. The method of claim 1, further comprising disposing the discrete
2 elements on the surface of the support material to project from the surface of the support
3 material by a distance of from about 2 microns to about 200 microns.

1 9. The method of claim 1, further comprising attaching the discrete
2 elements and the support material after the discrete elements are disposed on the support
3 material.

1 10. The method of claim 9 wherein attaching the discrete elements includes
2 curing the discrete elements and changing a shape of the discrete elements to taper the
3 discrete elements, with the discrete elements being wider at a point adjacent to the support
4 material than at a point spaced apart from the support material.

1 11. The method of claim 1, further comprising selecting the planarizing
2 medium material to include a thermoset or a thermoplastic material.

1 12. The method of claim 1, further comprising forming the discrete elements
2 to have an upper surface spaced apart from the surface of the support material with the upper
3 surface having blunt or rounded edges.

1 13. The method of claim 1, further comprising passing the discrete elements
2 through an orifice toward the support material and moving at least one of the orifice and the

3 support material relative to the other to distribute the discrete elements over the support
4 material.

1 14. The method of claim 1, further comprising passing the discrete elements
2 through apertures to control the distribution of the discrete elements on the support material.

1 15. The method of claim 1 wherein disposing the discrete elements on the
2 support material includes dropping the discrete elements onto the support material from
3 above.

1 16. The method of claim 1, further comprising at least partially curing the
2 discrete elements before disposing the discrete elements on the support material.

1 17. The method of claim 1, further comprising distributing the discrete
2 elements to have a first spacing in a first portion of the support material and a second spacing
3 in a second portion of the support material with the first spacing different than the second
4 spacing.

1 18. The method of claim 1 wherein disposing the discrete elements includes
2 forming a jet comprising discrete elements and directing the jet toward the surface of the
3 support material.

1 19. The method of claim 1, further comprising selecting the support material
2 and the planarizing medium material to have the same chemical composition.

1 20. The method of claim 1, further comprising mixing the discrete elements
2 with the film support material and disposing the discrete elements and the film support
3 material on the support liquid together.

1 21. The method of claim 1, further comprising disposing the film support
2 material on the support liquid, then disposing the discrete elements on the film support
3 material.

1 22. The method of claim 1, further comprising buffing the discrete elements
2 after attaching the discrete elements to the backing material.

1 23. The method of claim 1 wherein the film support material is sacrificial
2 and wherein the method further comprises:

3 engaging the film support material with the backing layer;
4 attaching the discrete elements to the backing layer; and
5 removing the film support material after attaching the discrete elements to the
6 backing layer.

1 24. A method for forming a textured planarizing pad, comprising:
2 floating a film of a support material on a supporting liquid;
3 separating a planarizing pad material into discrete elements;
4 distributing the discrete elements in and/or on the support material; and
5 drawing the support material and the discrete elements from the supporting
6 liquid by engaging the support material with a backing material and moving the backing
7 material away from the liquid.

1 25. The method of claim 24, further comprising separating the planarizing
2 pad material into discrete elements and mixing the discrete elements with the support
3 material before disposing the support material on the surface of the liquid.

1 26. The method of claim 24, further comprising selecting the support
2 material to include an organic Langmuir-Blodgett film material.

1 27. The method of claim 24, further comprising selecting the supporting
2 liquid to include water.

1 28. The method of claim 24, further comprising disposing the discrete
2 elements onto the support material after disposing the support material on the liquid.

1 29. The method of claim 24, further comprising disposing the support
2 material on the surface of the liquid to a thickness of one molecule.

1 30. A method for removing material from a microelectronic substrate,
2 comprising:

3 forming a planarizing pad by floating a film of a first support material on a
4 supporting liquid, separating a planarizing pad material into discrete elements, distributing
5 the discrete elements in and/or on the support material, and drawing the support material and
6 the discrete elements from the supporting liquid by engaging the support material with a
7 backing material and moving the backing material away from the liquid;

8 engaging the planarizing pad with the microelectronic substrate; and

9 moving at least one of the planarizing pad and the microelectronic substrate
10 relative to the other to remove material from the microelectronic substrate.

1 31. The method of claim 30, further comprising separating the planarizing
2 pad material into discrete elements and mixing the discrete elements with the support
3 material before disposing the support material on the surface of the liquid.

1 32. The method of claim 30, further comprising selecting the support
2 material to include an organic Langmuir-Blodgett film material.

1 33. The method of claim 30, further comprising disposing the discrete
2 elements onto the support material after disposing the support material on the liquid.

1 34. The method of claim 30, further comprising disposing a planarizing
2 liquid between a planarizing surface of the planarizing pad and a surface of the
3 microelectronic substrate.

1 35. A planarizing pad for planarizing a microelectronic substrate,
2 comprising:

3 a generally planar backing layer;

4 a one-molecule thick film support layer on the backing layer; and
5 a plurality of texture elements disposed on the film support layer, portions of
6 the texture elements being spaced apart from each other and projecting from the film support
7 layer, each texture element having a generally smooth upper surface, smoothly transitioning
8 to a generally smooth side surface without asperities.

1 36. The planarizing pad of claim 35 wherein the texture elements have a
2 plurality of abrasive particles embedded therein.

1 37. The planarizing pad of claim 35 wherein the texture elements include
2 partially spherical droplets.

1 38. The planarizing pad of claim 35 wherein the texture elements have a
2 cross-sectional dimension of from approximately 5 microns to approximately 200 microns.

1 39. The planarizing pad of claim 35 wherein the film support layer includes
2 a removable sacrificial material.

1 40. The planarizing pad claim 35 wherein the texture elements have a first
2 spacing in a first region of the film support layer and a second spacing in a second region of
3 the film support layer with the first spacing different than the second spacing.

1 41. The planarizing pad of claim 35 wherein the texture elements and the
2 film support layer have the same chemical composition.

1 42. The planarizing pad of claim 35 wherein the backing layer includes a
2 plurality of raised features separated by recessed channels, and the film support layer
3 conforms to the raised features.

1 43. An apparatus for forming a planarizing pad for mechanically and/or
2 chemically-mechanically planarizing a microelectronic substrate, comprising:

3 a support device configured to support a backing material in a selected
4 position;

5 a first vessel configured to contain a non-solid planarizing pad material; and
6 at least one nozzle operatively coupled to the first vessel and coupled to a
7 source of compressed gas, the nozzle configured to mix the planarizing pad material with the
8 compressed gas to form discrete texture elements; and

9 a second vessel configured to contain a support liquid that supports the discrete
10 texture elements and a film, wherein the support device is positioned proximate to the second
11 liquid vessel to move the backing material relative to the second liquid vessel and draw the
12 film from the second vessel.

1 44. The apparatus of claim 43 wherein the support device includes first and
2 second rollers coupled to the backing material and rotatable relative to each other to advance
3 the support backing material from the first roller to the second roller.

1 45. The apparatus of claim 43, further comprising a hopper positioned
2 between the nozzle and the second vessel, the hopper having a first opening positioned
3 proximate to the at least one nozzle and a second opening proximate to the second vessel
4 when the film is supported by the support liquid.

1 46. The apparatus of claim 43 wherein the film is elongated in a
2 longitudinal direction and the at least one nozzle is the first of two nozzles coupled to the
3 first vessel, the second nozzle being offset in the longitudinal direction and in a lateral
4 direction transverse to the longitudinal direction relative to the first nozzle.

1 47. The apparatus of claim 43, further comprising:
2 a manifold coupled to the first vessel;

3 a first spraybar coupled to the manifold and extending over the second vessel
4 in transverse direction when the film is supported by the support liquid, the first nozzle being
5 connected to the first spraybar; and

6 a second spraybar coupled to the manifold and spaced apart from the first
7 spraybar in the longitudinal direction, the second spraybar extending transversely over the
8 second vessel when the film is supported by the support liquid, the second nozzle being
9 connected to the second spraybar.

1 48. The apparatus of claim 43, further comprising a heating element
2 positioned proximate to the support device and proximate to the backing material when the
3 backing material is supported by the support device.

1 49. The apparatus of claim 43, further comprising a grate between the
2 nozzle and the second vessel, the grate having a plurality of apertures sized to pass the
3 discrete texture elements therethrough.

1 50. An apparatus for planarizing a microelectronic substrate, comprising:
2 a platen having a generally flat support surface;
3 a planarizing pad disposed on the support surface of the platen, the planarizing
4 pad including a generally planar backing layer, a one-molecule thick film support layer on
5 the backing layer, and a plurality of texture elements disposed on the film support layer,
6 portions of the texture elements being spaced apart from each other and projecting from the
7 film support layer, each texture element having a generally smooth upper surface, smoothly
8 transitioning to a generally smooth side surface without asperities; and
9 a carrier proximate to the platen and configured to support a microelectronic
10 substrate against the planarizing pad, at least one of the carrier and the platen being movable
11 relative to the other.

1 51. The apparatus of claim 50 wherein the texture elements have a plurality
2 of abrasive particles embedded therein.

**METHOD AND APPARATUS FOR FORMING A PLANARIZING PAD HAVING A
FILM AND TEXTURE ELEMENTS FOR PLANARIZATION OF MICROELECTRONIC
SUBSTRATES**

ABSTRACT

A planarizing pad for planarizing a microelectronic substrate, and a method and apparatus for forming the planarizing pad. In one embodiment, planarizing pad material is mixed with compressed gas to form a plurality of discrete elements that are distributed on a film support material. The film support material is supported by a liquid and is drawn from the liquid with a backing layer. At least a portion of the discrete elements are spaced apart from each other on the film support material to form a textured surface for engaging a microelectronic substrate and removing material from the microelectronic substrate. The discrete elements can be uniformly or randomly distributed on the film support material.

2/7

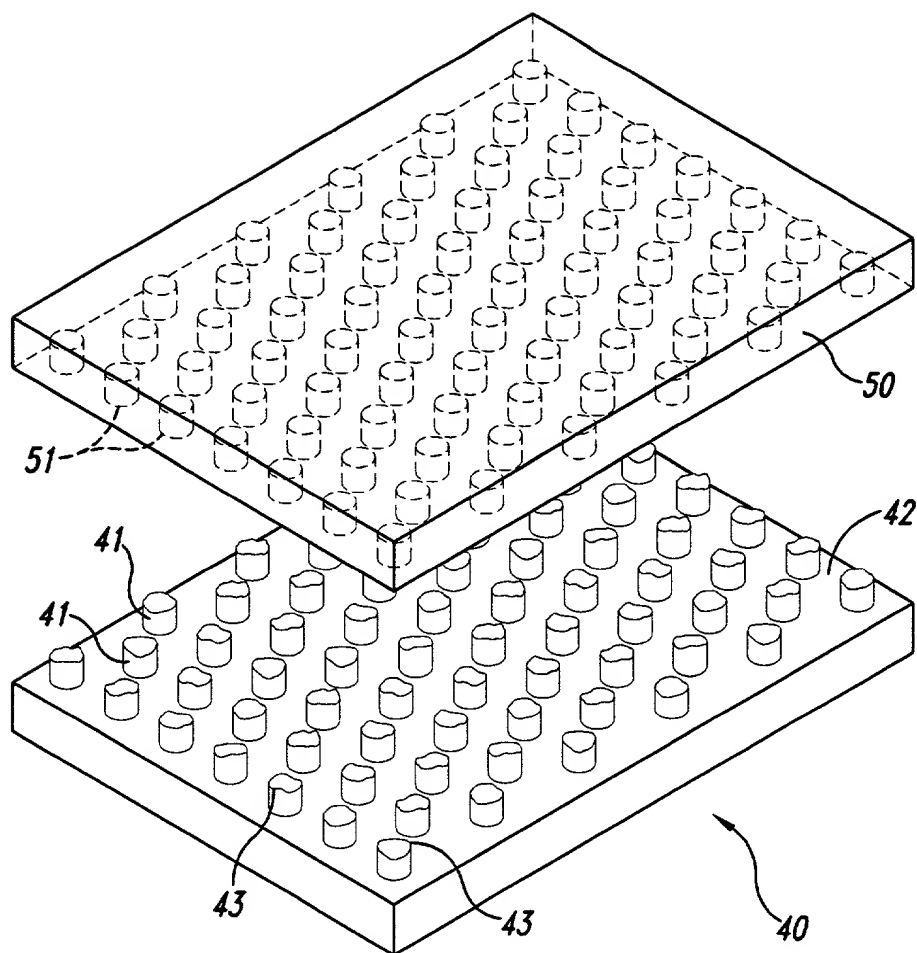


Fig. 2
(Prior Art)

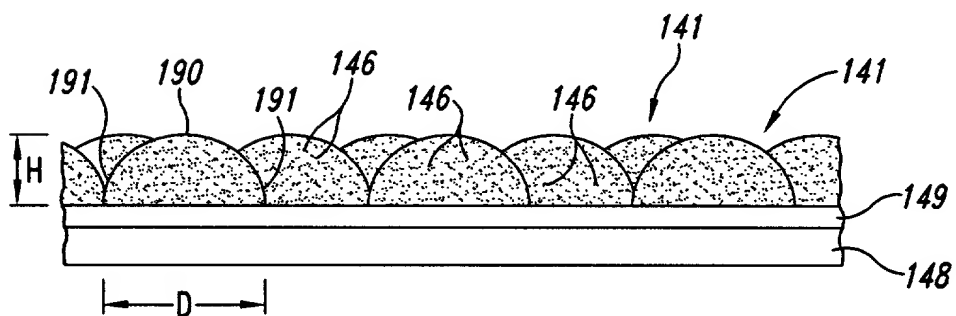


Fig. 4

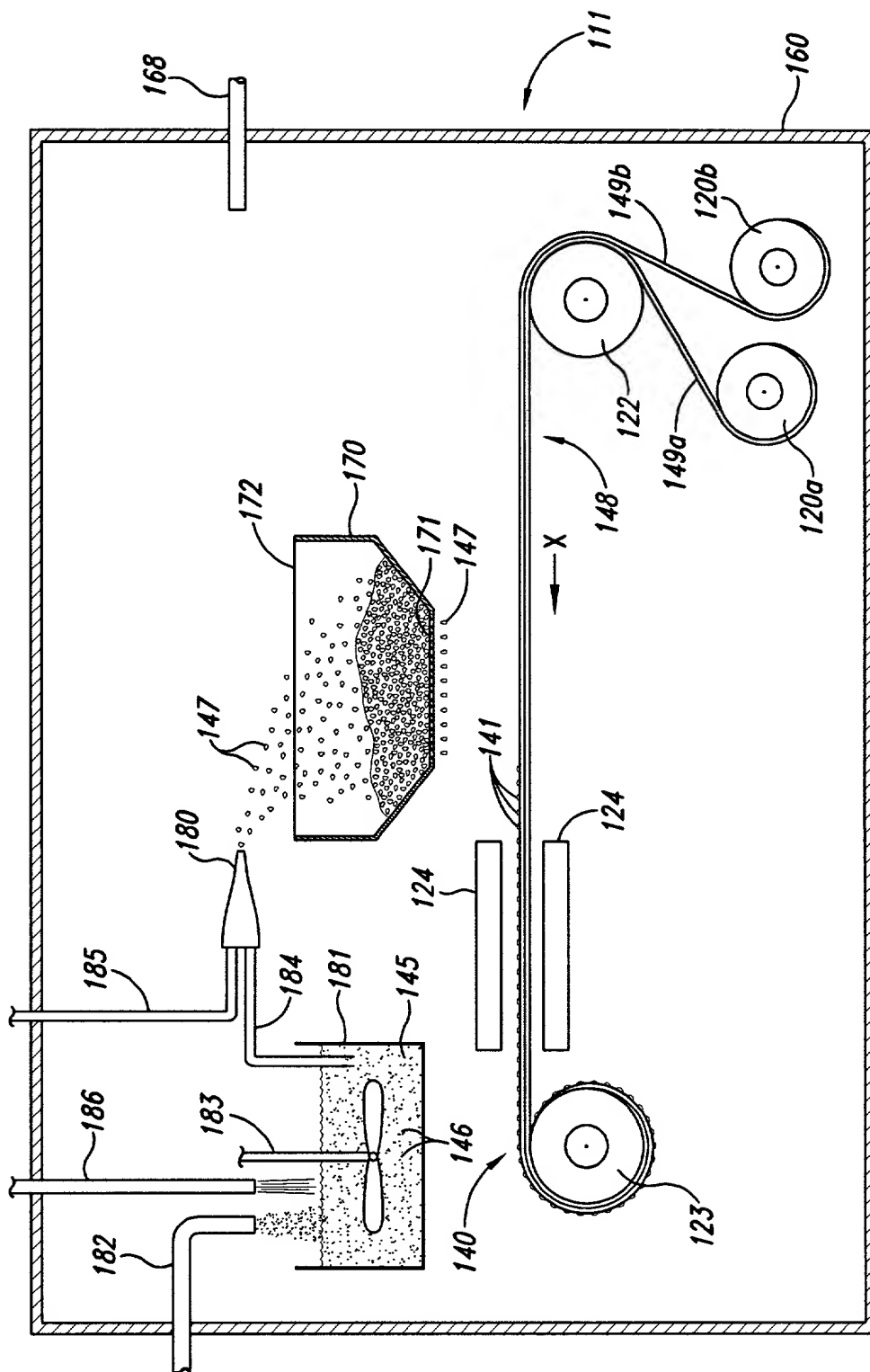


Fig. 3

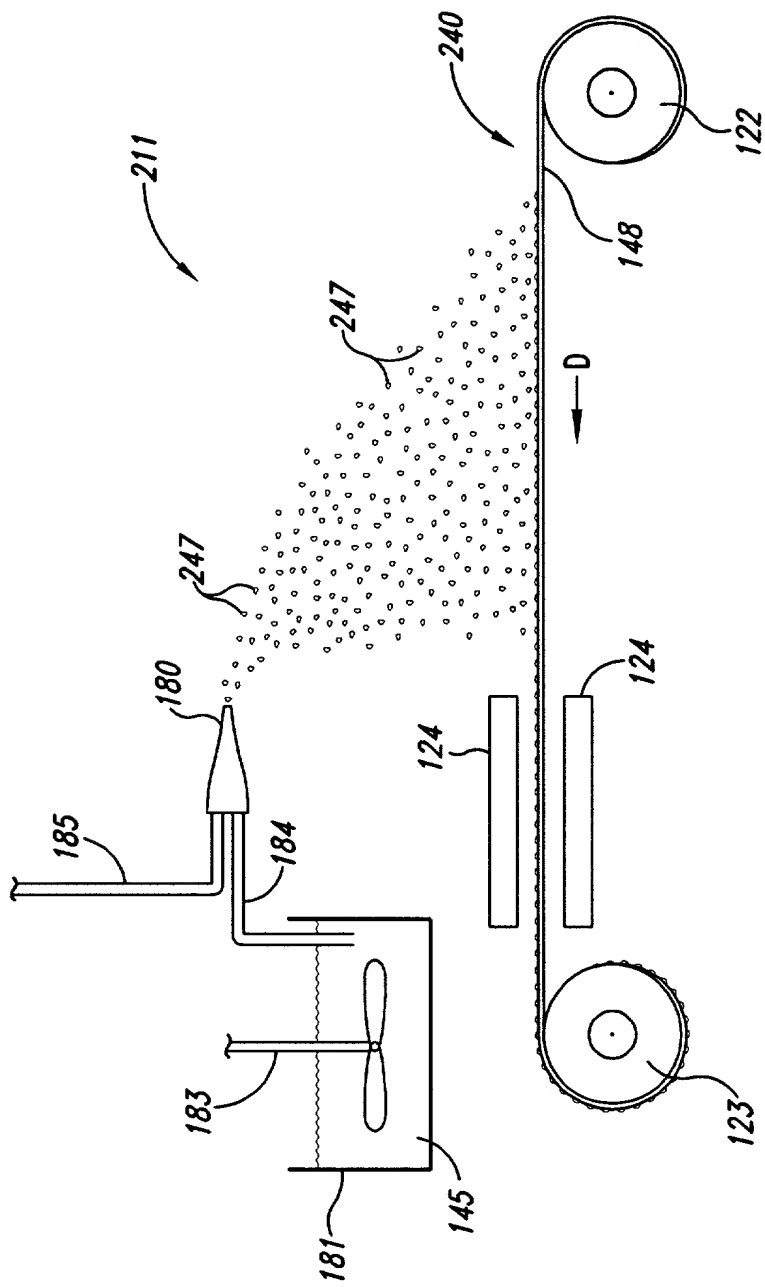


Fig. 5

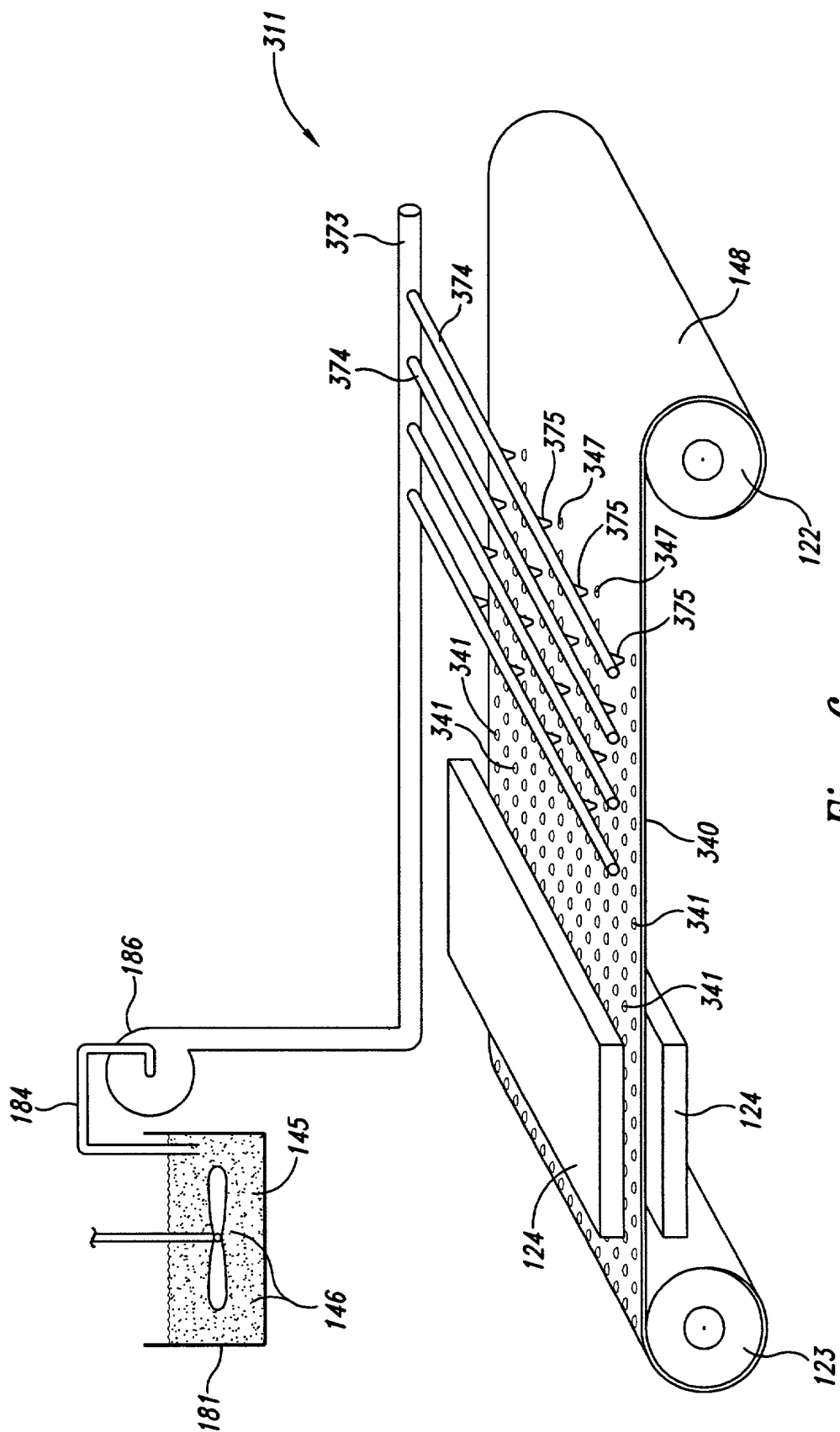


Fig. 6

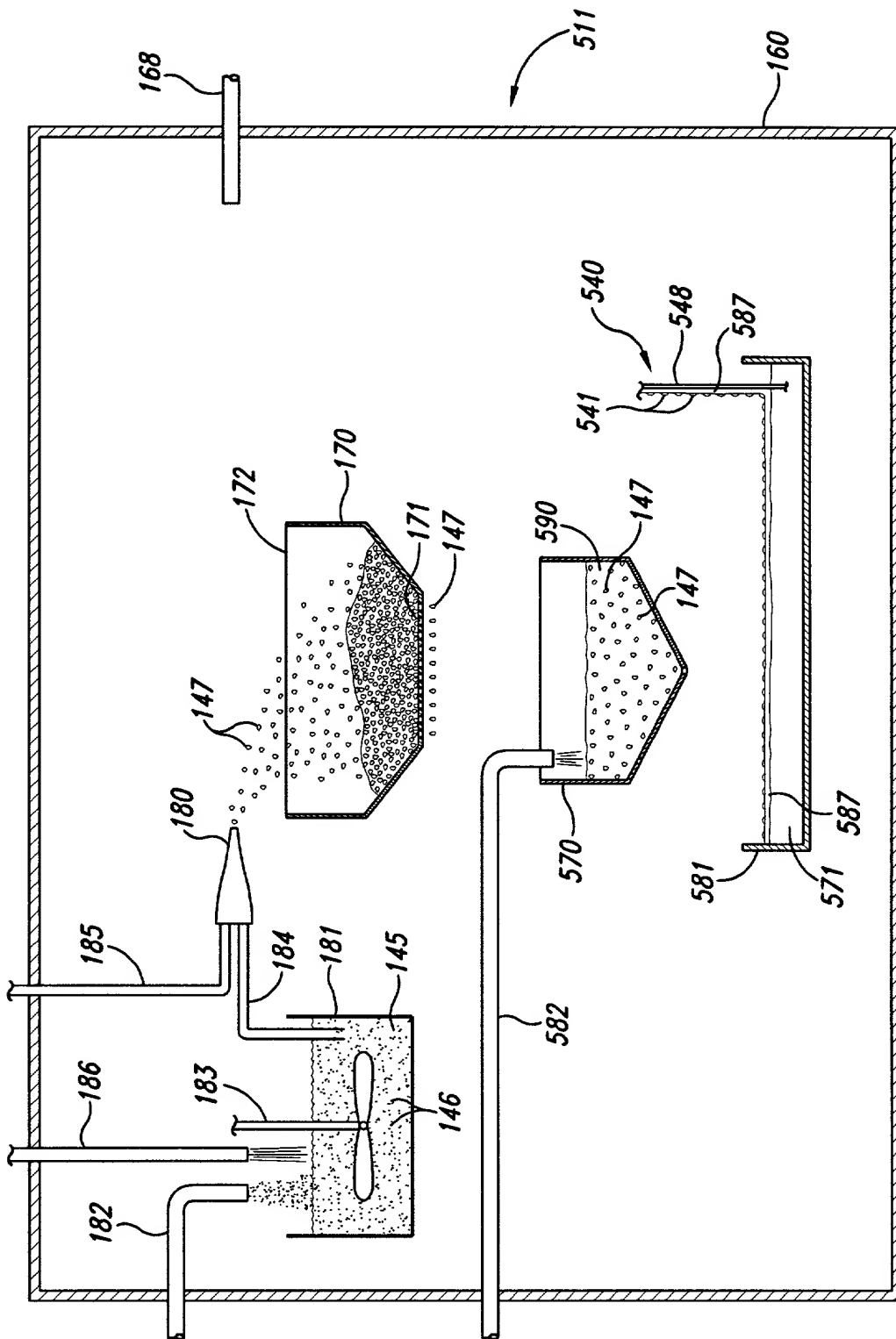
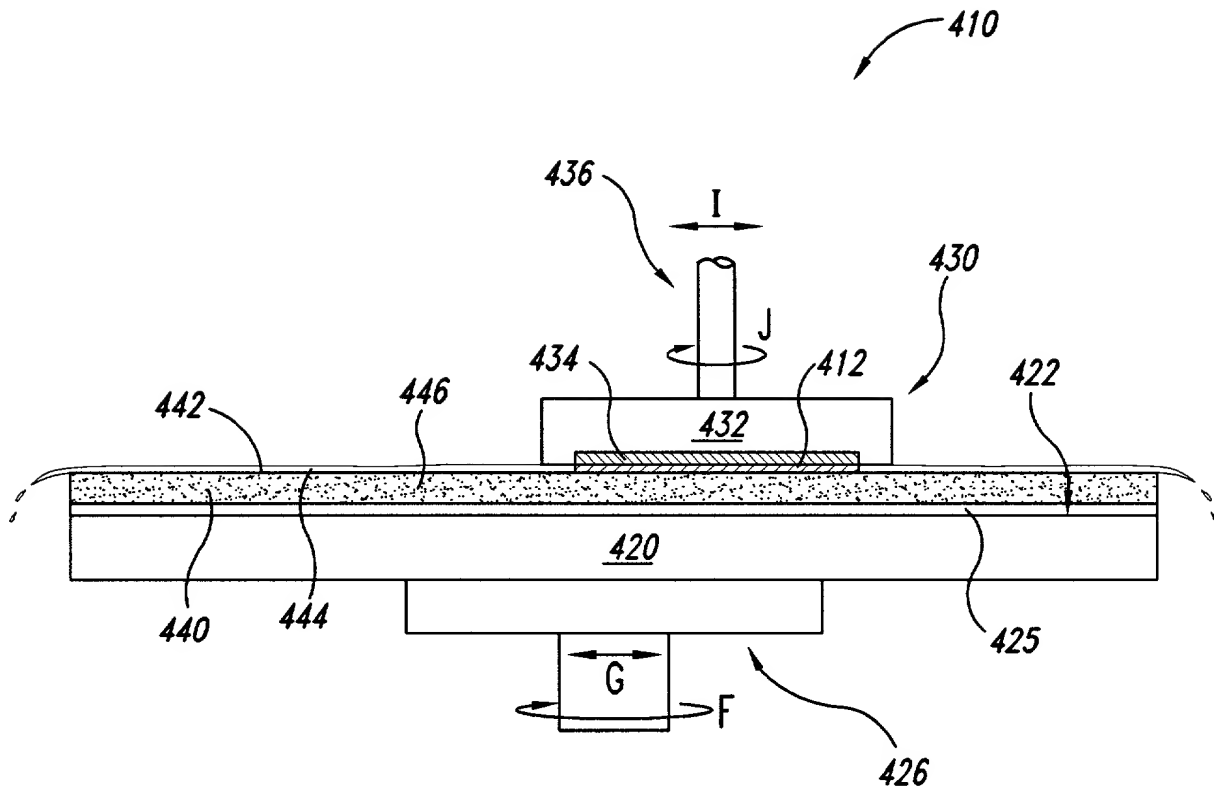


Fig. 7

*Fig. 8*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Scott G. Meikle
Filed : Concurrently herewith
For : METHOD AND APPARATUS FOR FORMING A
PLANARIZING PAD HAVING A FILM AND TEXTURE
ELEMENTS FOR PLANARIZATION
OF MICROELECTRONIC SUBSTRATES

Docket No. : 108298511US

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73

AND POWER OF ATTORNEY

Sir:

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment filed concurrently herewith, a copy of which is enclosed, hereby elects under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

Assignee hereby appoints JERRY A. RIEDINGER, Registration No. 30,582; MAURICE J. PIRIO, Registration No. 33,273; JOHN C. STEWART, Registration No. 40,188; MICHAEL D. BROADDUS, Registration No. 41,637; BRIAN P. MCQUILLEN, Registration No. 41,989; TARANEH MAGHAME, Registration No. 43,768; CATHERINE HONG TRAN, Registration No. 43,960; ROBERT G. WOOLSTON, Registration No. 37,263; PAUL T. PARKER, Registration No. 38,264; JOHN M. WECHKIN, Registration No. 42,216; CHRISTOPHER DALEY-WATSON, Registration No. 34,807, ; STEVEN D. LAWRENZ, Registration No. 37,376;

JAMES A.D. WHITE, Registration No. 43,985; and FRANK ABRAMONTE, Registration No. 38,066, along with MICHAEL L. LYNCH, Reg. No. 30,871; WALTER D. FIELDS, Reg. No. 37,130; CHARLES B. BRANTLEY, II, Reg. No. 38,086; KEVIN D. MARTIN, Reg. No. 37,882; and DAVID J. PAUL, Reg. No. 34,692, of Micron Technology, Inc., 8000 South Federal Way, Boise, Idaho 83706-9632, as the principal attorneys with full power of substitution, association, and revocation to prosecute said application, to transact all business in the Patent and Trademark Office connected therewith, and to receive the letters patent therefor. Please direct all direct all telephone calls to John M. Wechkin at (206) 583-8888 and telecopies to (206) 583-8500.

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Pursuant to 37 C.F.R. § 3.73, the undersigned duly authorized designee of Assignee certifies that the evidentiary documents have been reviewed, specifically the Assignment to Micron Technology, Inc. filed concurrently herewith for recording, a copy of which is attached hereto, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Micron Technology, Inc.

8-25-00

Date



Michael L. Lynch, Esq.
Chief Patent Counsel

JMW:nb

Enclosure:
Copy of Assignment